Bayesian Optimization of Soft Exosuits Using a Metabolic Estimator Stopping Process

Myunghee Kim1,2*, Charles Liu1*, Jinsoo Kim1,3, Sangjun Lee1,3, Adham Meguid6, Conor J. Walsh1,3, Scott Kuindersma1

Abstract—Recent human-in-the-loop (HIL) optimization studies using wearable devices have shown an improved average metabolic reduction by optimizing a small number of control parameters during short-duration walking experiments. However, the slow metabolic dynamics, high measurement noise, and experimental time constraints create challenges for increasing the number of control parameters to be optimized. Prior work applying gradient descent and Bayesian optimization to this problem have decoupled metabolic estimation and control parameter selection using fixed estimation intervals, which imposes a hard limit on the number of parameter evaluations possible in a given time budget. In this work, we take a different approach that couples estimation and parameter selection, allowing the algorithm to spend less time on refining the metabolic estimates for parameters that are unlikely to improve performance over the best observed values. Our approach uses a Kalman filter-based metabolic estimator to formulate an optimal stopping problem during the data acquisition step of standard Bayesian optimization. Performance was analyzed in numerical simulations and in pilot human subject testing with two subjects that involved optimizing six control parameters of a single-joint exosuit and four parameters of a multi-joint exosuit.

I. INTRODUCTION

Wearable robotic devices are intended as a means to augment human economy, strength, and endurance. Over the past decade, a number of devices have been developed for reducing the metabolic cost of walking for able-bodied individuals [1]–[7]. With tethered and portable hardware platforms having advanced considerably [3], [8], [9], it is now possible to accurately control the shape of assistance force profiles [1], [10]–[13], which has led to work focused on how these control parameters influence overall system performance [11], [12].

The control parameters of assistive devices have traditionally been optimized through systematic sweeps using average metabolic response as an objective [4], [14]. Since inter-subject performance variability has been observed when applying the same optimized control strategy to multiple subjects [15], there are significant practical advantages to efficiently identifying optimal parameter settings on an individual basis. Recently, several groups have explored different combinations of devices and optimization algorithms for human-in-the-loop (HIL) optimization [16]–[19].

These methods generally use an instantaneous metabolic rate estimate [20] as an objective for optimizing control parameters [16]–[18], [21]. Given the long timescales of metabolic dynamics and noisy observations through breath measurement devices [22], metabolic estimation performance often becomes a bottleneck that limits the number of samples achievable in a fixed experimental time window.

Bayesian optimization is a sequential stochastic optimization strategy that is well suited for optimizing objective functions that are noisy and expensive to evaluate [19], [23]–[25]. The algorithm is typically framed in the context of an iteration budget, abstracting away the actual data sampling process that may actually require multiple measurements to evaluate the objective. The HIL optimization problem, on the other hand, has a limited time constraint rather than an iteration budget since participants can only perform for a fixed interval of time. In previous studies, a fixed observation time window was used for every sample evaluation, matching the time constraint to an iteration constraint. This means that the algorithm can waste valuable time measuring metabolic data for parameter values that are unlikely to improve upon the best known values up until that point in the optimization.

In this work, we propose an estimator stopping process of HIL Bayesian optimization to reduce the total experimental time and/or accommodate more control parameters within a given period of time. A stopping rule is determined after every respiratory measurement to spend less time on unpromising parameter settings. We performed simulations based on previously collected metabolic data to show the time-efficiency of the proposed method compared to conventional approaches. In addition, we conducted preliminary human subject testing with single- and multi-joint soft exosuits to demonstrate the feasibility of the proposed approach.

II. HIL OPTIMIZATION USING A STOPPING PROCESS

This section describes our approach and presents the three main components of our algorithm: Bayesian optimization, metabolic cost estimation, and an estimator stopping process.

A. Approach Overview

The goal of the proposed HIL optimization is to minimize the metabolic rate with respect to a set of control parameters in a fixed experimental time period. Note that we will use the terms “metabolic rate” and “metabolic cost” interchangeable throughout this paper. Our approach is based on the insight that for some parameter values, even a very short measurement duration (i.e. a high variance estimate of metabolic...
1. Exploration points E
2. Maximum number of measurements N
3. for e in E
4. Estimate e for N measurements ...
5. Update training set S ∪ (e, µe)
6. end
7. Determine thresholds from exploration phase A
8. Initialize t = 0
9. while t < T
10. Find x_t = arg max x EI(x|S)
11. Initialize i = 0
12. Given x^* = arg min_x ∈ S µ_x
13. while i < N
14. Estimate x_t ∼ N(µ_x, σ_x^2)
15. µ = µ_x^* - µ_x
16. σ^2 = σ_x^2 + σ_x^2
17. if µ > A_i(σ)
18. break
19. end
20. i = i + 1, t = t + 1
21. end
22. Update training set S ∪ (x_t, µ_x)
23. end

Algorithm 1: Bayesian Optimization using an Estimator Stopping Process. The algorithm starts with an exploration phase of E sample points using the maximum N measurements to produce a low-variance estimate of metabolic rate, after which it proceeds with the early stopping mechanism until time expires.

cost) can provide enough information to rule them out as being worse than parameters that have already been tried. Stopping the metabolic estimation early in such cases leaves more time in the experimental budget to sample potentially better parameter values. An outline of the algorithm is shown in Algorithm 1.

B. Bayesian Optimization

Bayesian optimization solves problems of the form:

\[ x^* = \arg \min_{x \in \mathbb{X}} f(x), \]

where \( \mathbb{X} \subset \mathbb{R}^d \), \( d \) is dimensionality of the parameter space, and \( f \) is an unknown, noisy function that is expensive to evaluate [26]. In the HIL setting, the algorithm proceeds by computing a posterior distribution over meta-cost functions, \( f(x) \), given all previous evaluations and then maximizing an acquisition function on this distribution to globally select the next control parameter value, \( x \), to evaluate. The distribution over \( f \) is modeled as a Gaussian process (GP) [27], \( G \),

\[ f \sim G(\mu, \kappa), \]

where \( \mu : \mathbb{X} \to \mathbb{R} \) is a mean function typically set to zero, and \( \kappa : \mathbb{X} \times \mathbb{X} \to \mathbb{R} \) is a covariance kernel where a squared exponential kernel is a common choice,

\[ \kappa(x_i, x_j|\theta) = \sigma^2_\theta \exp \left( -\sum_{k=1}^{d} \frac{(x_{i,k} - x_{j,k})^2}{2\ell_k^2} \right) \]

with hyperparameters \( \theta = [\sigma^2_\theta, \ell_1, \ldots, \ell_d] \).

Given a set of training samples, \( S = \{(x_i, y_i)\}_{i=1}^n \) where \( y_i \sim f(x_i) + N(0, \sigma^2_y) \), the posterior distribution at \( x \) follows a normal distribution with mean \( \bar{\mu}(x) \) and variance \( \bar{\sigma}^2(x) \) evaluated as in [23]. Note that a small set of exploration points is needed to compute an initial posterior distribution before the optimization process is started.

Given a distribution at point \( x \), an acquisition function captures how attractive that point is to sample next. We used Expected Improvement (EI), which returns the expected reduction in cost over the best parameters observed so far,

\[ EI(x|S) = z\bar{\sigma}(x)\Phi(z) + \bar{\sigma}(x)\phi(z) \]

where \( \xi \) is a scaling parameter to adjust the tradeoff between exploration-exploitation, \( \phi \) is the Gaussian PDF, and \( \Phi \) is the Gaussian CDF [28]. Using \( EI \), the next point is chosen as \( x^* = \arg \max_x EI(x|S) \).

C. Metabolic Estimation

For each parameter set, the metabolic cost was continuously estimated by using a first-order dynamical model [20] for up to 45 breaths. We model the metabolic cost, \( m \), as

\[ m_t(c_0, c, \tau_0, \tau) = c_0 + c_0 e^{-t/\tau_0} e^{-t/\tau}, \]

where \( t \) is the total measurement time and \( \tau_0, \tau \) are time constants characterizing the rate of change for the initial cost \( c_0 \) and the instantaneous energetic cost \( c \), respectively.

An Unscented Kalman Filter (UKF) was used to estimate the parameters of our metabolic cost model using real-time breath measurements [29]. Kalman filters are applied to discrete time systems of the form

\[ o(t + 1) = F(o(t), v(t), t) \]
\[ z(t) = H(o(t), w(t), t), \]

where \( o(t) \) represents the unobserved state of the system, \( z(t) \) the observed measurement, zero-mean Gaussian vectors \( v(t) \) for process disturbances or modeling errors, and \( w(t) \) for measurement noise.

As \( \tau_0, \tau, c, c_0 \) are assumed to be constant parameters, the UKF formulation becomes

\[ x = [c_0 \ c \ \tau_0 \ \tau] \]
\[ F(o(t), v(t), t) = o(t) + v(t) \]
\[ H(o(t), w(t), t) = m_t(o(t)) + w(t). \]

Upon subsequent breath measurements, the filter refines the estimate of the metabolic cost function parameters.

D. Estimator Stopping Process: \( \sigma \)-scaled Offset

The decision to continue to the next iteration of Bayesian optimization is framed as a stopping problem using the metabolic estimator’s state with the following parameters:

\[ N \quad \text{Finite horizon} \]
\[ X_t \quad \text{State at time } t \]
\[ P(X_t|X_{t-1}) \quad \text{State transitions, typically Markovian} \]
\[ \lambda \quad \text{Discount Factor } \in (0, 1] \]
\[ r(X) \quad \text{Bounded reward function for continuing at state } X \]
\[ g(X) \quad \text{Bounded reward function for stopping at state } X \]
The objective is to find time \( \tau \) that maximizes

\[
V(x) = \max_{\tau: \tau \leq N} \mathbb{E}[\lambda^\tau g(X_\tau) + \sum_{i=0}^{\tau-1} \lambda^i r(X_j)]|X_0 = x].
\]

The value function can be computed via backward induction by defining

\[
J_N(x) = g(x)
\]

\[
J_n(x) = \max\{g(x), r(x) + \lambda \mathbb{E}p(y|x)[J_{n+1}(y)]\},
\]

then \( V(x) = J_0(x) \) and the optimal stopping time, \( \tau \), satisfies [30]

\[
\min_{\tau \geq 0} J_\tau(X_\tau) = g(X_\tau).
\]

Within the context of the metabolic estimator, let \( N \) be the maximum measurements allowed at a parameter evaluation, \( \hat{x}_t \sim N(\mu_{x_t}, \sigma^2_{x_t}) \) is the energetic cost estimate and associated variance for the current evaluation, and \( \hat{x}^* \sim N(\mu_{x^*}, \sigma^2_{x^*}) \) is the energetic cost estimate and variance for the best parameter setting found thus far as defined by the Gaussian process. As Bayesian optimization starts with an exploration phase, \( \hat{x}^* \) will always be defined.

The \( \sigma \)-scaled offset model directly embeds \( \hat{x}_t \) and \( \hat{x}^* \) into the stopping problem. The best estimate of the difference in energetic costs is given by the following Gaussian distribution

\[
\hat{x}_t - \hat{x}^* \sim N(\mu, \sigma^2)
\]

\[
\mu = \mu_{x_t} - \mu_{x^*},
\]

\[
\sigma^2 = \sigma^2_{x_t} + \sigma^2_{x^*}.
\]

The stopping problem state is then the estimate difference with a non-Markovian transition model of independent draws from the same Gaussian distribution. The lower \( \hat{x}_t \) seems relative to \( x^* \), the higher the reward function incentivizes continuing. In summary,

\[
X_t = \mu
\]

\[
P(X_t|X_{t-1}) = P(X_t) \sim N(\mu, \sigma^2)
\]

\[
r(x) = K \sigma - x
\]

\[
g(x) = 0,
\]

where \( K \) is a \( \sigma \)-scaled offset to achieve some degree of risk aversion to stopping too early. The optimal stopping point for this formulation can be reduced to the first time \( X_t > K \sigma \). As the cost variance decreases over subsequent measurements, \( \sigma^2 \) decreases the offset accordingly.

Having an unfavorable \( K \) for scaling the \( \sigma \)-scaled offset can lead to under-sampling potentially favorable settings or reducing to a fixed sampling of the maximum number of measurements. However, since the Bayesian optimization algorithm begins with an exploration phase, these data points can be used to adjust \( K \). A schedule of the trajectory of thresholds is created as if the stopping problem were run on the exploration phase and the most risk-averse settings are used during the optimization. Let \( \mathbb{M} \) and \( \Sigma \) be \( |\mathbb{E}| \times N \) matrices providing traces where \( \mathbb{M}_{ij}, \Sigma_{ij} \) correspond to \( \mu_{x_i}, \Sigma_{x_i} \) for exploration point \( i \) and measurement \( j \). For each exploration point, the \( \hat{x}^* \) distribution can be the final distribution, \( (\mathbb{M}_{iN}, \Sigma_{iN}) \), to estimate magnitude of thresholds when comparing similar cost estimates. Algorithm 2 outlines the process of calculating the adaptive threshold vector \( \hat{A} \).

### III. Simulation Results

1) **Metabolic Estimation:** We evaluated the online estimation method using subject data from a prior study. The results from two representative subjects are shown in Fig. 1. The accuracy of this estimator was tested in percentage error by comparing the prediction values at certain time instants (5 min, 2 min, 1.5 min, 20 breaths, and 30 breaths) to the “ground truth,” which we take to be the average of the last two minutes of the five minute data. The errors were 1%, 1%, 1%, 3%, and 6%, respectively.

2) **Optimization using an Estimator Stopping Process:** Four standard functions (Table I) were tested using the early estimator stopping process, compared to a fixed measure-

![Fig. 1: UKF metabolic estimator applied to two subject trials. The top figure shows the raw measurements and the metabolic cost prediction. The bottom plot displays the normalized covariance associated with the estimate, beginning with a covariance of 1 and converging at different rates depending upon the data.](image-url)
TABLE I: Validation data set. Four different objective functions are used for simulation validation.

<table>
<thead>
<tr>
<th>Function</th>
<th>Domain</th>
<th>Min</th>
<th>$\mu$</th>
<th>$\sigma$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hartmann-6</td>
<td>$[0, 1]^6$</td>
<td>-3.322</td>
<td>-0.259</td>
<td>0.383</td>
</tr>
<tr>
<td>Ackley</td>
<td>$[-32.768, 32.768]^4$</td>
<td>0</td>
<td>20.882</td>
<td>1.027</td>
</tr>
<tr>
<td>Levy</td>
<td>$[-10, 10]^4$</td>
<td>0</td>
<td>42.544</td>
<td>27.939</td>
</tr>
<tr>
<td>Brannin</td>
<td>$([-5, 10], [0, 15])$</td>
<td>0.398</td>
<td>54.452</td>
<td>51.129</td>
</tr>
</tbody>
</table>

For time $t$, let $x_t$ be the GP’s estimated minimum point. Define

$$y_t = \frac{f(x_t) - f(x^*)}{f(x_0) - f(x^*)},$$

where $f$ is the true, noiseless function and $f(x^*)$ is the function minimum. Fig. 2 plots $y_t$ averaged over 100 trials. As the exploration phase is the same for each method, it is omitted from the charts for brevity.

The early stopping mechanism allowed for greater exploration of the domain space as evidenced by the smoother trajectory over time as compared to more of a step ladder trajectory with the fixed measurement interval. The scenario where measurement noise is underestimated may lead to the possibility where promising points are stopped too early, while overestimating measurement noise may reduce the algorithm to fixed measurements at the maximum number of timesteps. However in our simulations, regardless of the inaccuracy in the measurement noise the early stopping method produced results closer to the optimum as compared to the fixed measurement interval method (Fig. 2).

IV. HUMAN WALKING STUDIES WITH SOFT EXOSUITS

We conducted preliminary human walking experiments using single- and multi-joint soft exosuits to test the proposed algorithm. The Harvard Longwood Medical Area Institutional Review Board approved the studies, and all participants provided written informed consent.

Fig. 2: Performance comparison between an estimator stopping method (Adap) and a fixed measurement interval method (Fixed). Four different objective functions with three different levels of measurement variation were tested. The exploration phase is the same between methods and is omitted for brevity.

A. Single-joint exosuit optimization

We optimized parameters for hip extension assistance using a soft exosuit, a textile based wearable device [31] with a non-portable, tethered system consisting of two independent actuators to deliver assistance through the hip extension load path of both legs. Further details about the soft exosuit and off-board system can be found in [7].

1) Controls overview: The electronics hardware has a three-layer configuration for the control system architecture: real-time target machine (top layer), microprocessor (middle layer), and servomotor driver (bottom layer). In the top layer, the real-time target machine (Speedgoat, Switzerland) runs a Simulink model (MathWorks, Natick, MA, USA) in a host
Further details can be found in [18].

2) Control parameter optimization: We used a six dimensional parameter space (increased from two parameters used in prior work [18]). We chose the following parameters to optimize a force profile as a function of gait cycles which consist of two cubic splines: the magnitude of peak force, $F_p$, three timings (onset $t_{on}$, peak $t_{peak}$, offset $t_{off}$), and two node points (rising $F_r$ and falling $F_f$) at the middle of the rising and falling duration as described in Fig. 3 (a). The gait cycle ($\%GC_{MHF}$) was calculated using a maximum hip flexion gait event [18].

Considering the hip extension moment and hardware limitations, the following constraints were applied.

$$0\%GC_{MHF} \leq t_{on} \leq t_{peak} - 15\%GC_{MHF}$$
$$t_{peak} + 15\%GC_{MHF} \leq t_{off} \leq 55\%GC_{MHF}$$
$$50N \leq F_p \leq 250N$$
$$F_p \times 0.3 \leq F_r \leq F_p \times 0.7$$
$$F_p \times 0.3 \leq F_f \leq F_p \times 0.7.$$ 

3) Experimental protocol: Two healthy male adults with prior experience of walking with the exosuit’s assistance participated in this study (S1: 48 yrs, 85 kg, 178 cm; S2: 27 yrs, 74 kg, 177 cm). The study consisted of a single-day experimental session involving an exosuit parameter optimization process during treadmill walking at 1.25 m/s. The optimization process included two separate walking bouts: a 20-min (approx.) bout initializing the algorithm with an evenly distributed pseudo-random set of parameters and a 40-min (approx.) bout to run actual Bayesian optimization, making the entire optimization process less than 60 min including 3-min warm-up periods in the beginning of each bout. The metabolic cost of walking 5-min with and without the exosuit were then measured using (i) individually optimized parameters (OPT) and (ii) fixed generic parameters (FIX) from the literature (i.e. ESLP condition in [32]) for comparison.

4) Result: The optimization procedure ran 37 iterations with 17 early estimator stops (subject 1) and 27 iterations with 8 early estimator stops (subject 2). Participants reduced their metabolic cost by 35% (subject 1) and 7% (subject 2) for the optimal condition (OPT) and 29% (subject 1) and -4% (subject 2) for the fixed generic condition (FIX), compared to no-exosuit condition. The optimized force profile is shown in Fig. 4. The soft exosuit followed the desired trajectory within 5% error relative to the peak force.

B. Multi-joint exosuit optimization

We further evaluated the performance of the algorithm by optimizing parameters for both hip and ankle assistance using a multi-joint soft exosuit [9]. An off-board actuation system was used, similar to the one in the single-joint optimization study but with two sets of 2-DOF actuators. Further details about the textile architecture can be found in [9].

1) Controls overview: Similar to the single-joint optimization study, a Simulink-based real-time control architecture (Speedgoat) was used to regulate the exosuit assistance.
For the hip assistance, we used a combination of piecewise sinusoidal force profiles as a function of gait cycles ($%GC_{MHF}$) (Fig. 3 (b), top). For the ankle assistance, on the other hand, the controller detected heel strike by using the foot IMUs to define gait cycles ($%GC_{HS}$). A force-based position control was performed following a piece-wise linear position profile as a function of $%GC_{HS}$ (Fig. 3 (b), bottom) [9], [13].

2) Control parameter optimization: In this study, four control parameters across different lower-limb joints (two for the hip extension load path and the other two for the ankle plantarflexion load path) were simultaneously optimized for each individual using the proposed algorithm. For the hip extension assistance, the peak ($T_{peak}$) and the offset ($T_{offset}$) timings of the force profile were optimized, while the peak force magnitude was maintained at 40% of the wearer’s body weight. Parameter constraints were defined as follows:

$$15\%GC_{MHF} \leq T_{peak} \leq 50\%GC_{MHF}$$

$$30\%GC_{MHF} \leq T_{offset} \leq 65\%GC_{MHF}$$

$$T_{offset} + 15\%GC_{MHF} \leq T_{peak}.$$ 

For the ankle assistance, the cable retraction onset timing ($T_1$) and the completion timing ($T_2$) were optimized, while the cable release onset timing ($T_3$) was set relative to $T_2$. The target peak force magnitude was set to 60% of the wearer’s body weight. Parameter constraints were defined as follows:

$$30\%GC_{HS} \leq T_1 \leq 40\%GC_{HS}$$

$$50\%GC_{HS} \leq T_2 \leq 60\%GC_{HS}$$

$$T_1 + 10\%GC_{HS} \leq T_2$$

$$T_3 = T_2 + 10\%GC_{HS}.$$ 

3) Experimental protocol: Two healthy male adults with prior experience of walking with the exosuit assistance participated in this study (S1: 48 yrs, 85 kg, 178 cm; S2: 23 yrs, 75 kg, 183 cm). The experimental procedure was identical to the procedure in the single-joint optimization study except for an additional comparison with a slack (powered-off) condition. As for the fixed generic parameters for comparison, averaged parameters from [18] were used for the hip extension assistance, while averaged parameters from [7] were used for the ankle assistance.

4) Result: The optimization ran 26 iterations within 50 minutes with 11 early estimator stops (subject 1) and 20 iterations within 32 minutes with 8 early estimator stops (subject 2), excluding warm-up periods. A summary of the metabolic reduction can be found in Table II.

### V. Conclusion

In this work, we introduced an early stopping process to address the limited time budget for human-in-the-loop optimization. By coupling the metabolic cost estimation with the optimization process, the optimization allowed up to 37 iterations in 50 minutes, which would have required 80 minutes using Bayesian optimization with fixed estimation time budget [10], [18]. When the optimized parameters were used, participants reduced their metabolic cost to below, or approximately equal to, the generic condition. Our preliminary results lend support to the hypothesis that an estimator stopping process can make more efficient use of experimental time compared to approaches that use fixed estimation intervals while sampling, opening the door to explore richer parameters spaces in human subject experiments.

We note that while our approach may support modest increases to the parameter space dimensionality, performing HIL optimization in high-dimensional (i.e. dozens or more) spaces remains intractable in the absence of prior information that could otherwise bias search in promising directions. Also, while our results demonstrate significant reductions in metabolic cost for both devices, our results are based on $N = 2$ subjects and experimental protocol does not rule out possible mitigating factors such as human adaptation over the duration of the experiment. In future work, we aim to collect a larger set of human subject data and add explicit mechanisms that model the nonstationarity of metabolic cost over time.

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